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Practitioner's Docket No AD6813 US NA

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

In re application of: Mark S. Edwards, et. al

Application No.: 09/985,789

Group No.: 1771

Filed: September 28, 2001

Examiner: Cheryl Ann Juska

For: METHOD AND APPARATUS FOR MAKING BRISTLE SUBASSEMBLIES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**AMENDMENT, REQUEST AND FEE TO DELETE AND/OR
ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED
INVENTOR(S) IN-NONPROVISIONAL APPLICATION-DECLARATION**

(37 C.F.R. § 1.48(a))

NOTE: *If the inventive entity is set forth in error in an executed § 1.63 oath or declaration in a nonprovisional application, and such error arose without any deceptive intention of the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the inventorship of the nonprovisional application may be amended to name only the actual inventor or inventors.* *37 C.F.R. § 1.48(a)

NOTE: *37 C.F.R. § 1.480(1): "Nonprovisional application-filing executed oath/declaration corrects inventorship. If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63 by any of the inventors, the first submission of an executed oath or declaration under § 1.63 by any of the inventors during the pendency of the application will act to correct the earlier identification of inventorship. See §§ 1.41(e)(4) and 1.497(d) for submission of an executed oath or declaration to enter the national stage under 35 U.S.C. 371 and § 1.494 or § 1.495 naming an inventive entity different from the inventive entity set forth in the International stage."*

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
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Express Mail certification is optional.)

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37 C.F.R. § 1.8(a)

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TRANSMISSION

facsimile transmitted to the Patent and Trademark Office, (703) 972-9306

Date: February 28, 2004

Julianne Holland

(type or print name of person certifying)

* Only the date of filing (§ 1.8) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.7030. Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Amendment, Request and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in-Nonprovisional Application-Declaration (37 C.F.R. § 1.48(a)) f8-22f -page 1 of 3)

1. This amendment and request is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on 04/10/2002 (date).
2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

- Add the following previously unnamed person(s) as inventor(s) of this application:
Winship S. Reed III

- Delete the following previously incorrectly named inventor(s)

3. Attachments

Attached is

- (a) A statement from: *(check items below that apply)*

each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
 each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
- (b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).
- (c) written assent of the assignee *(if any of the original inventors executed an assignment)* 37 C.F.R. 1.48(a)(4)
- (d) *(check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)*

Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee Payment (37 C.F.R. § 1.17(i)-\$130.00)

The fee required is paid as follows:

- Attached is a check money order in the amount of \$ _____
 Authorization is hereby made to charge the amount of \$130.00

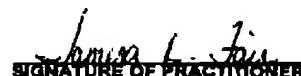
to Deposit Account No. 04-1928
 to Credit card as shown on the attached credit card information authorization form PTO-2038.

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- Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

(Amendment, Request and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in Nonprovisional Application-Declaration (37 C.F.R. § 1.48(a)) [9-22]-page 2 of 3)


SIGNATURE OF PRACTITIONER

REG. No.: 35,867

Tamera L. Fair

(type or print name of practitioner)

Tel. No.: (302) 892-7948

E. I. du Pont de Nemours and Company
P.O. Box 80025
4417 Lancaster Pike
Legal, BMP25/2114
Wilmington, DE 19805
P.O. Address

Customer No.:

23,906

(Amendment, Request and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s)
In-Nonprovisional Application-Declaration (37 C.F.R. § 1.48(a)) [9-22]-page 3 of 3)

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(To be used for all correspondence after initial filing)</i>		Application Number	09/965789
		Filing Date	September 28, 2001
		First Named Inventor	Robert M. Brown Et. Al.
		Art Unit	1771
		Examiner Name	Cheryl Ann Juska
Total Number of Pages in This Submission		Attorney Docket Number	AD6813USNA

ENCLOSURES (Check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	<small>* see Remarks below</small>
<small>Remarks</small> Amendment, Request & Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) In-Nonprovisional Application-Declaration(37 C.F.R. 1.48(a)); Assent of Assignee to Correction and/or Addition of Inventor(s); Assignee Statement; Statement Under 37 CFR 3.73(b); Statement of Non-Deceptive Intent by Person Being Added by Petition to Change Inventorship in Patent (37 CFR 1.324 (b) (1)); Declaration; Power of Attorney; Assignment; Application Data Sheet (adding Winslow S. Reed, III), Certificate of Transmittal, Fee Transmittal.			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	E. I. DU PONT DE NEMOURS AND COMPANY Tamura L. Fair	
Signature	<i>Tamura L. Fair</i>	
Date	February 26, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

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February 26, 2004

Typed or printed name	Julianne Holland	
Signature	<i>Julianne Holland</i>	Date
	February 26, 2004	

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

Complete If Known

Application Number	09/965789
Filing Date	September 28, 2001
First Named Inventor	Robert M. Brown Et. Al.
Examiner Name	unknown
Art Unit	1771
Attorney Docket No.	AD6813 US NA Facsimile: 20040226

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	04-1928
Deposit Account Name	E. I. du Pont de Nemours and Company

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee			
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			
SUBTOTAL (1) (\$)		0.00			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X 18	
			- 3** =	X 88	
		YES		260.00	

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20		
1201 86	2201 43	Independent claims in excess of 3		
1203 290	2203 145	Multiple dependent claim, if not paid		
1204 86	2204 43	" Reissue Independent claims over original patent		
1205 18	2205 9	" Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2) (\$)		0.00		

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 26	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 850	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1491 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1602 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1480 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(e))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination or a design application	
Other fee (specify) Adm'd, Reg & Fee, Add., Inventor...		130.00	

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.00

(Complete if applicable)

Name (Print/Type)	Tamera L. Fair	Registration No. (Attorney/Agent)	35,867	Telephone	(302) 892-7948
Signature	<i>Tamera L. Fair</i>			Date	February 26, 2004

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PTO/SB/97 (08-03)

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Julianne Holland

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09/965789

AD6813USNA

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